



# PART B - FEE(S) TRANSMITTAL

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Marilyn Alexander (Depositor's name)  
*Marilyn Alexander* (Signature)  
January 31, 2007 (Date)

27623 7590 10/31/2006

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
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02/05/2007 ZJUHR2 00000058 030835 10616192

01 FC:1501 1400.00 DA  
02 FC:1504 300.00 DA

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/616,192	07/09/2003	Kevin F. Dudley	10502	9670

TITLE OF INVENTION: COMPRESSOR HEAT REGENERATIVE SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	01/31/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
KOCZO JR, MICHAEL	3746	417-228000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 Ohlandt, Greeley  
2 Ruggiero & Perle, L.L.P.  
3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Carrier Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Farmington, Connecticut

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
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- ☐ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 03-0835 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Charles N.J. Ruggiero*  
Charles N.J. Ruggiero

Date

*January 31, 2007*  
Registration No. 28,468

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT TRANSMITTAL FORM

Applicant(s): Kevin F. DUDLEY  
Serial No.: 10/616,192  
Filed: July 9, 2003  
For: COMPRESSOR HEAT REGENERATIVE SYSTEM  
Art Unit: 3746  
Examiner: Michael Koczo Jr.



Confirmation No.: 9670

Customer No.: 27623

Attorney Docket No.: 10502

Mail Stop Issue Fee  
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Dear Sir:

We are enclosing:

1. PTOL-85 (in duplicate);
2. Transmittal letter in duplicate; and
3. Postcard.

Please charge **\$1,700.00** and any additional fees or credit any such fees, if necessary to Deposit Account No. **03-0835**. A duplicate copy of this sheet is attached.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Charles N.J. Ruggiero".

Date: January 31, 2007

Charles N.J. Ruggiero  
Reg. No. 28,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
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**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP ISSUE FEE, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON January 31, 2007.

Marilyn Alexander  
NAME

A handwritten signature in black ink, appearing to read "Marilyn Alexander".  
SIGNATURE

01/31/07  
DATE